

## Trust Application (Individual and Corporate)

### PART 1 | Trustee / Director Details

#### Applicant 1

Role of applicant :

Trustee     Trust Beneficiary     Settlor     Director     Other (specify) : \_\_\_\_\_

Is this person the Primary Contact for this account?     Yes     No

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_

Surname: \_\_\_\_\_

Email Address: \_\_\_\_\_

Residential Address: \_\_\_\_\_

\_\_\_\_\_ Phone number: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Country of Birth: \_\_\_\_\_

Are you a US citizen for tax purposes?     Yes     No

Are you a Politically Exposed Person?     Yes     No

#### Applicant 2

Role of applicant:

Trustee     Trust Beneficiary     Settlor     Director     Other (specify) : \_\_\_\_\_

Is this person the Primary Contact for this account?     Yes     No

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_

Surname: \_\_\_\_\_

Email Address: \_\_\_\_\_

Residential Address: \_\_\_\_\_

\_\_\_\_\_ Phone number: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Country of Birth: \_\_\_\_\_

Are you a US citizen for tax purposes?     Yes     No

Are you a Politically Exposed Person?     Yes     No

## PART 2 | Trust Details

Full name of Trust: \_\_\_\_\_

Full name of business (if any): \_\_\_\_\_

Date of Trust deed: \_\_\_\_\_

Nature of Trust activity : \_\_\_\_\_

Country where Trust was established: \_\_\_\_\_

If you have indicated Australia, is your trust ABN/TFN exemption:  Yes  No

If no, please provide the following: \_\_\_\_\_

Australian Business Number (ABN): \_\_\_\_\_

Tax File Number (TFN): \_\_\_\_\_

### Settlor of the Trust

At the time the Trust was established did the settlor of the Trust make a material asset contribution to the Trust of less than \$10,000?  Yes  No

Is the Settlor of the Trust now deceased?  Yes  No

If you have answered yes to any of the above regarding the Settlor of the Trust please provide details of the Settlor of the Trust.

Full name & Address : \_\_\_\_\_

\_\_\_\_\_

Full name & Address : \_\_\_\_\_

\_\_\_\_\_

### Please select Trustee Type

Individual Trustee – Proceed to Part 4

Corporate Trustee – Proceed to Part 3

### PART 3 | Company as Trustee Details

Full name of Company :

Company Type:  Public  Proprietary/Private  Other

Registered address of Company: \_\_\_\_\_

Principal place of business (if any): \_\_\_\_\_

Date of Incorporation: \_\_\_\_\_

Legal Entity Identifier (LEI): \_\_\_\_\_

Australian Business Number (ABN ): \_\_\_\_\_

Australian Company Number (ACN): \_\_\_\_\_

If you are a foreign company please provide the following

Name of Regulating body : \_\_\_\_\_

Company Registration Number: \_\_\_\_\_

Country of incorporation : \_\_\_\_\_

### PART 4 | Beneficial Owners / Controlling Owner

Please list any person who owns 25% or more (either directly or indirectly) of Trust or control the Trust.

If there are no individuals who qualify as a beneficial owners/controlling owner tick this box

Beneficial Owner 1

Full name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residential Address: \_\_\_\_\_

Beneficial Owner 2

Full name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residential Address: \_\_\_\_\_

Beneficial Owner 3

Full name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residential Address: \_\_\_\_\_

\_\_\_\_\_

Beneficial Owner 4

Full name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residential Address: \_\_\_\_\_

\_\_\_\_\_

## PART 5 | Trading Account Currency

Please indicate the default currency for your Trading account: ( Tick 1)

AUD

CAD

EUR

GBP

SGD

USD

## PART 6 | Electronic Verification

To enable us to verify your identity, we may disclose personal information such as your name, date of birth and address to a Credit Reporting Agency (CRA) to obtain assessment of whether that personal information matches information held by the CRA and perform identity verification with the document issuer or official record holder. The CRA may give us a report on that assessment and to do so we use personal information about you and other individuals in their files and from the document issuer or official record holder. Alternative means of verifying you are available on request. If we are unable to verify your identity using information by the CRA or from the document issuer or from official record holder we will provide you with notice to this effect and give you the opportunity to contact the CRA and document issuer or official record holder to update your information held by them or verify your identity using alternative method acceptable to us.

CapitalFX Securities Pty Limited trading as CapitalFX is a registered Vanuatu company with its registered address at Govant Building, BP 1276 Port Vila Vanuatu (Company Number 40256) and is regulated by the Vanuatu

