

Trust Application (Individual and Corporate)

PART 1 | Trustee / Director Details

Applicant 1

Role of applicant :

Trustee Trust Beneficiary Settlor Director Other (specify) : _____

Is this person the Primary Contact for this account? Yes No

First Name: _____ Middle Name: _____

Surname: _____

Email Address: _____

Residential Address: _____

_____ Phone number: _____

Date of Birth: _____ Country of Birth: _____

Are you a US citizen for tax purposes? Yes No

Are you a Politically Exposed Person? Yes No

Applicant 2

Role of applicant:

Trustee Trust Beneficiary Settlor Director Other (specify) : _____

Is this person the Primary Contact for this account? Yes No

First Name: _____ Middle Name: _____

Surname: _____

Email Address: _____

Residential Address: _____

_____ Phone number: _____

Date of Birth: _____ Country of Birth: _____

Are you a US citizen for tax purposes? Yes No

Are you a Politically Exposed Person? Yes No

PART 2 | Trust Details

Full name of Trust: _____

Full name of business (if any): _____

Date of Trust deed: _____

Nature of Trust activity : _____

Country where Trust was established: _____

If you have indicated Australia, is your trust ABN/TFN exemption: Yes No

If no, please provide the following: _____

Australian Business Number (ABN): _____

Tax File Number (TFN): _____

Settlor of the Trust

At the time the Trust was established did the settlor of the Trust make a material asset contribution to the Trust of less than \$10,000? Yes No

Is the Settlor of the Trust now deceased? Yes No

If you have answered yes to any of the above regarding the Settlor of the Trust please provide details of the Settlor of the Trust.

Full name & Address : _____

Full name & Address : _____

Please select Trustee Type

Individual Trustee – Proceed to Part 4

Corporate Trustee – Proceed to Part 3



PART 3 | Company as Trustee Details

Full name of Company :

Company Type: Public Proprietary/Private Other

Registered address of Company: _____

Principal place of business (if any): _____

Date of Incorporation: _____

Legal Entity Identifier (LEI): _____

Australian Business Number (ABN): _____

Australian Company Number (ACN): _____

If you are a foreign company please provide the following

Name of Regulating body : _____

Company Registration Number: _____

Country of incorporation : _____

PART 4 | Beneficial Owners / Controlling Owner

Please list any person who owns 25% or more (either directly or indirectly) of Trust or control the Trust.

If there are no individuals who qualify as a beneficial owners/controlling owner tick this box

Beneficial Owner 1

Full name: _____ Date of Birth: _____

Residential Address: _____

Beneficial Owner 2

Full name: _____ Date of Birth: _____

Residential Address: _____



Beneficial Owner 3

Full name: _____ Date of Birth: _____

Residential Address: _____

Beneficial Owner 4

Full name: _____ Date of Birth: _____

Residential Address: _____

PART 5 | Trading Account Currency

Please indicate the default currency for your Trading account: (Tick 1)

AUD

CAD

EUR

GBP

SGD

USD

PART 6 | Electronic Verification

To enable us to verify your identity, we may disclose personal information such as your name, date of birth and address to a Credit Reporting Agency (CRA) to obtain assessment of whether that personal information matches information held by the CRA and perform identity verification with the document issuer or official record holder. The CRA may give us a report on that assessment and to do so we use personal information about you and other individuals in their files and from the document issuer or official record holder. Alternative means of verifying you are available on request. If we are unable to verify your identity using information by the CRA or from the document issuer or from official record holder we will provide you with notice to this effect and give you the opportunity to contact the CRA and document issuer or official record holder to update your information held by them or verify your identity using alternative method acceptable to us.

